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States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/813.619

03/31/2004

Joo-ho Kim

1793.1234

21171 STAAS & HALSEY LLP SUITE 700 1201 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

**CONFIRMATION NO. 3826 FORMALITIES LETTER** \*OC000000012955991\*

Date Mailed: 06/15/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/22/2004 EABUBAK1 00000062 10813619

03 FC:1051

770.00 OP 01 FC:1001 02 FC:1202

180.00 OP 130.00 DP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 7a,b / 8a,b / 9a,b.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 7a,b / 8a,b / 9a,b.

The applicant needs to satisfy supplemental fees problems indicated below.

- . The required item(s) identified below must be timely submitted to avoid abandonment:
  - Additional claim fees of \$180 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1080 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$180
  - \$180 for 10 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



TO ADDRESS OF THE PARTY OF THE		S&H Form: PTO/SB/17 (10/03)			
RESPONSE TO NOTICE TO FILE	Attorney Docket No.	1793.1234			
MISSING PARTS	Application Number	10/813,619			
	Filing Date	March 31, 2004			
	First Named Inventor	Joo-ho KIM et al.			
	Group Art Unit				
Title: RECORDING MEDIUM HAVING SUPER-RESOLUTION NEAR-FIELD STRUCTURE AND METHOD AND APPARATUS FOR REPRODUCING THE SAME					
Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>June 15, 2004</u> for the above-identified application, enclosed are the following:					
RETURN COPY of Notice to File Missing Parts of Nonprovisional Application					
Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration.					
☐ Formal Drawings (Sheets; Figs)					
☐ English-language translation of application (with Translator's Statement (pages))					
☐ Verified Statement Claiming Small Entity Status					
England is a neument of the following:					
Enclosed is a payment of the following:  The filing fee as set forth in 37 C.F.R. § 1.16(a) \$ 770.0					
☐ The additional claim(s) fee (10 claims or	\$ 180.00				
☐ The additional independent claim(s) fee ( claims over 3) \$					
☐ Multiple dependent claims \$					
☐ Petition for Extension of Time ( <u>-month</u> )					
English language translation fee					
⊠ Surcharge as set forth in 37 C.F.R. § 1.	\$130.00				
SUE	STOTAL FEES:	\$1080.00			
Reduction by 50% for filing by small entity (37 CFR 1.27)					
	BTOTAL FEES:	\$1080.00			
тот	AL FEES DUE:	\$1080.00			
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Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010)):						
METHOD OF PAYMENT						
$\boxtimes$	Check enclosed as payment.					
	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.					
AUTHORIZATION						
$\boxtimes$	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:					
Deposit Account No.: 19-3935						
Deposit Account Name: STAAS & HALSEY LLP						
SUBMITTED BY: STAAS & HALSEY LLP						
Туре	d Name	Michael D. Stein	Reg. No.	37,240		
Signa	ature	Mulas 10 SM	Date	7/21/04		